

UNITED NATIONS DEVELOPMENT PROGRAMME
Office of Audit and Investigations



*Empowered lives.
Resilient nations.*

AUDIT

OF

UN WOMEN COUNTRY OFFICE IN MALI

**PROGRAMME ASSISTANCE AUX FEMMES / FILLES AFFECTEES PAR LE CONFLIT ET
PARTICIPATION DES FEMMES AU PROCESSUS DE CONSOLIDATION DE LA PAIX
(Directly Implemented Project No. 84608)**

Report No. 1873

Issue Date: 1 September 2017

Report on the Audit of UN Women Mali
Programme Assistance aux femmes / filles affectées par le conflit et Participation des femmes au processus de consolidation de la paix (Project No. 84608)
Executive Summary

The UNDP Office of Audit and Investigations (OAI), through KPMG SA (the audit firm), from 19 to 30 June 2017, conducted an audit of *Programme Assistance aux femmes / filles affectées par le conflit et Participation des femmes au processus de consolidation de la paix*, Project No. 84608 (the Project), which is directly implemented and managed by the UN Women Country Office in Mali (the Office). This was the first audit of the Project.

The audit firm conducted a financial audit to express an opinion on whether the financial statements present fairly, in all material aspects, the Project's operations. The audit covered the Project's Trial Balance Report, which included expenditures for the period from 1 January to 31 December 2016 and the Statement of Assets as of 31 December 2016. The audit did not include expenditures processed and approved outside of the country (such as by the UN Women Regional Centres and UN Women Headquarters).

The audit was conducted under the general supervision of OAI in conformance with the *International Standards for the Professional Practice of Internal Auditing*.

Audit results

Based on the audit report and corresponding management letter submitted by the audit firm, the results are summarized in the table below:

Project Expenditure*		Project Assets	
Amount (in \$ '000)	Opinion	Amount (in \$'000)	Opinion
766	Unqualified	17	Unqualified

* Expenditures recorded in the Project Trial Balance were \$788,983. Excluded from the audit scope were transactions that relate to expenditures processed and approved by other UN Women offices outside of the country (\$23,113).

Key recommendations: Total = 1, high priority = 0

The audit did not result in any high (critical) priority recommendations. There is one medium (important) priority recommendation, which means, "Action is required to ensure that UN Women is not exposed to risks. Failure to take action could result in negative consequences for UN Women." The recommendation includes actions to address inadequate solicitation of procurement bids/quotations.

The recommendation aims to ensure compliance with legislative mandates, regulations and rules, policies and procedures.

Management comments and action plan

The Representative of the Office accepted the recommendation and is in the process of implementing it.

Management comments and/or additional information provided have been incorporated into the report, where appropriate.

A handwritten signature in blue ink, appearing to read 'B G Simpson', is enclosed within a rectangular box.

Brett Simpson
Officer-in-Charge
Office of Audit and Investigations